

<b>Notice of References Cited</b>	Application/Control No. 09/972,193	Applicant(s)/Patent Under Reexamination DAWSON, BRIAN T.	
	Examiner Scott S. Trotter	Art Unit 3694	Page 1 of 1

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*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A US-6,876,981	04-2005	Berckmans, Philippe E.	705/35
*	B US-5,025,391	06-1991	Filby et al.	706/45
	C US-			
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	L US-			
	M US-			

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**NON-PATENT DOCUMENTS**

*	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
U	Barron's Dictionary of Finance and Investment Terms Fourth Edition Copyright 1995
V	Charles Schwab website About Mutual Fund Investing at Schwab March 4, 2000
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
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